

12-26-00



# IN THE UNITED STATES PATENT & TRADEMARK OFFICE

| Application for Reissue of Utility Patent to: | § |  |  |  |  |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|--|--|--|--|
| Ashby, III et al.                             | § |  |  |  |  |  |  |  |  |  |  |
| •   | § |  |  |  |  |  |  |  |  |  |  |
| Patent No. 5,852,803                          |   |  |  |  |  |  |  |  |  |  |  |
| Issued: December 22, 1998                     |   |  |  |  |  |  |  |  |  |  |  |
|   | § |  |  |  |  |  |  |  |  |  |  |
| Title: APPARATUS, SYSTEM AND                  | § |  |  |  |  |  |  |  |  |  |  |
| METHOD FOR RECORDING                          | § |  |  |  |  |  |  |  |  |  |  |
| AND/OR RETRIEVING AUDIO                       | § |  |  |  |  |  |  |  |  |  |  |
| INFORMATION                                   | § |  |  |  |  |  |  |  |  |  |  |

| "Express Mail" mailir  | ng label no. <u>EL764291945</u> 4   |
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| Date of Deposit:   | 12/21/00  |
| deposited with the U.S. Post Office to Addres 1.10 on the date indica Assistant Commission Washington, D.C. 20 | that this document is being S. Postal Service "Express Mail see" service under 37 C.F.R. § atted above and is addressed to: ner for Patents, <b>Box Reissue</b> , 1231  Derrick Brown |

# REISSUE PATENT APPLICATION TRANSMITTAL

#### **Box Reissue**

Assistant Commissioner for Patents Washington, D.C. 20231

#### Application Elements (37 CFR 1.173)

- 2. Applicant claims small entity status.
- 3. Copy of patent which includes specification (amended), claims, and drawings.
- 4. Reissue Declaration.
- 5. Nower of Attorney.
- 6. Assent of Assignee.

Please forward all future correspondence to:

## **Accompanying Application Parts**

- 7. Applicant hereby offers to surrender the original patent.
- 8. Information Disclosure Statement w/accompanying form PTO-1449 and copies of IDS citations.
- 9. Preliminary Amendment including statement of support for all changes to the claims.
- 10. Return receipt postcard.

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Kevin L. Daffer

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Date: December 21, 2000

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Approved for use through 12/30/2000 OMB 0651-0033
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|--|--|------------------------------|-----------------------------------|---------|----------------------------|----------------|----------------|---------------------------|-------|-----|--|--|--|--|--|--|--|
|  | ISSUE APPLICAT   | Number (Optional)<br>7-00700 |                                   |         |                            |                |                |                           |       |     |  |  |  |  |  |  |  |
|  |  |                              |                                   |         |                            |                |                |                           |       |     |  |  |  |  |  |  |  |
| Claims in  |  |                              | Other than a S                    |         |                            |                |                |                           |       |     |  |  |  |  |  |  |  |
| Patent   |  | Reissue                      | Application                       | Num     | ber Extra                  | Rate           | Fee            |                           | Rate  | Fee |  |  |  |  |  |  |  |
| (A) H  | Total Claims   | (B) 3°                       | 7                                 | ****    | 19 =                       | x\$ <u>9</u> = | 17100          |                           | ×\$=  |     |  |  |  |  |  |  |  |
| (C) 2  | (37 CFR 1.16(j))<br>Independent claims   | (D) 8                        | •                                 |         | <b>6</b> =                 | ×\$40 =        | وم ا           | or                        |       |     |  |  |  |  |  |  |  |
| (0)  | (37 CFR 1.16(i))   |                              | ×\$=                              |         |                            |                |                |                           |       |     |  |  |  |  |  |  |  |
|  |  |                              |                                   | Basic   | Fee (37 CI                 | FR 1.16(h))    | \$ <u>355</u>  |                           |       | \$  |  |  |  |  |  |  |  |
|  |  |                              |                                   | То      | otal Filing F              | ee             | \$766 <u>°</u> |                           | OR    | \$  |  |  |  |  |  |  |  |
|  |  |                              | Claim                             | s as Ar | nended - P                 | art 2          |                |                           |       |     |  |  |  |  |  |  |  |
|  | (1)  |                              | (2)                               |         | (3)                        | Small          | Entity         | Other than a Small Entity |       |     |  |  |  |  |  |  |  |
|  | Claims Remaining<br>After Amendmen   |                              | Highest Nu<br>Previous<br>Paid Fo | sly     | Extra<br>Claims<br>Present | Rate           | Fee            |                           | Rate  | Fee |  |  |  |  |  |  |  |
| Total Claims<br>(37 CFR 1.16)  |  | MINUS                        | **                                |         | * =                        | x\$            | =              |                           | x \$  | =   |  |  |  |  |  |  |  |
| Independent<br>Claims (37 CFR 1 10   | ***  | MINUS                        | ****                              |         | =                          | ×\$            | =              |                           | x \$= | =   |  |  |  |  |  |  |  |
| Citatino (Gr Citatino  |  |                              |                                   |         | Total A                    | dditional Fee  | \$             |                           | OR    | \$  |  |  |  |  |  |  |  |
| *** After any control of the control | * If the entry in (D) is less than the entry in (C), Write "0" in column 3.  *** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.  **** After any cancellation of claims.  **** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).  ***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).  Applicant claims small entity status. See 37 CFR 1.27.  Please charge Deposit Account No |                              |                                   |         |                            |                |                |                           |       |     |  |  |  |  |  |  |  |
| be in  | WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.    12   21   00   |                              |                                   |         |                            |                |                |                           |       |     |  |  |  |  |  |  |  |

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| Reiss | sue Application for:  | \$                   | Group Art Unit: Unknown  | 72   |
|-------|---|----------------------|--|--|
|       |   | \$                   |  | 09,  |
|       |   | \$                   |  | 1  |
| U.S.  | Patent No.: 5,852,803   | Ś                    | Atty. Dkt. No.: 5007-00700   |  |
| lssue | d: December 22, 1998  | §<br>§<br>§          | "Express Mail" mailing label no <u>EL 764 291945</u> of Date of Deposit: 12/21/00  | us   |
| For:  | APPARATUS, SYSTEM AND<br>METHOD FOR RECORDING<br>AND/OR RETRIEVING AUDIO<br>INFORMATION | \$ \$ \$ \$ \$ \$ \$ | I hereby certify that this document is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, <b>Box Reissue</b> , Washington, D.C. 20231 | The state of the s |
|       |   | \$                   |  |  |

### ASSENT OF ASSIGNEE

Commissioner for Patents Washington, D.C. 20231

Dear Sir/Madam:

The undersigned is Assignee of the entire interest in the above-identified patent, as evidenced by the assignment recorded May 11, 1992 at Reel 6121, Frame 0166. As such, the undersigned assignee hereby assents to the filing of the above-referenced reissue application.

Assignee: CHIPS-INTERNATIONAL, INC.

Roy G. Tiemant

President

Date